



GP/2164
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	:		Frank A. Cona
Examiner	:		Schnader, Harrison,
Serial No.	:	09/578,085	Segal & Lewis, LLP
Filed	:	May 23, 2000	1600 Market Street
Inventors	:	Palmeri, Richard	Suite 3600
Title	:	SYSTEM FOR ELECTRONIC	Philadelphia, PA 19103
	:	RE-ALLOCATION OF A	
	:	TRANSACTION AMOUNT	
	:	TO AN INVESTMENT	Docket: 1008-00-FAC
	:		
	:		

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
JAN 16 2002
Technology Center 2100

Sir:

Prior to an action on the merit, we respectfully request that the application be amended
as follows:

In the Claims

Kindly amend the claims as follows:

5. The method of Claim 1, further comprising the step of providing an interactive
information source between said user and said user trust account, whereby said user trust
account provides information to said user regarding said portion of said transaction amount
placed in said investment vehicle.

01/15/2002 RHARIS1 00000099 09578085

01 FC:202 42.00 OP
02 FC:203 81.00 OP